



JUN 27 2005

Please type a plus sign (+) inside this box



PTO/SB/21(08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

1fw

## TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

Application Number	10/782,098
Filing Date	February 19, 2004
First Named Inventor	Carmen Flosbach
Group Art Unit	Unknown
Examiner Name	Unknown
Total Number of Pages in This Submission	50
Attorney Docket Number	FA1224 US NA

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<ul style="list-style-type: none"><li>• Forms PTO/SB/08A and PTO/SB/08B (With Copies of Foreign References and PCT International Search Report with Written Opinion)</li><li>• Certificate of Mailing</li><li>• Receipt Card</li></ul>
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Hilmar L. Fricke (Registration No. 22,384)
Signature	
Date	June 22, 2005

## CERTIFICATE OF MAILING

JJ  
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on the date shown below

Typed or printed name	Ellen M. Godfrey
Signature	
Date	June 22, 2005

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Carmen Flosbach et al.

APPLICATION NO: 10/782,098

FILED: February 19, 2004

FOR: PROCESS FOR THE PRODUCTION  
OF POLYURETHANE  
DI(METH)ACRYLATES

GROUP ART UNIT: Unknown

EXAMINER: Unknown

ATTY. DOCKET NO: FA1224 US NA

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT BEFORE MAILING DATE OF A  
FIRST OFFICE ACTION ON THE MERITS (37 CFR § 1.97(b)(3))**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Listed on accompanying Forms PTO/SB/08A and PTO/SB/08B are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. This statement is being filed within the time period specified in 37 CFR §1.97(b)(3). Applicant believes that no fee is required.

Applicant submits herewith Forms PTO/SB/08A and PTO/SB/08B identifying in the PCT International Search Report and Written Opinion of the International Searching Authority for Attorney Docket No. FA1224 PCT (International Application No. PCT/US 2005/005577) mailed June 6, 2005:

US 2003/0134125 Facke et al.

WO 2001/25359 BASF Aktiengesellschaft (English equivalent US6825241)

Copy of the FA1224 PCT International Search Report with Written Opinion

The Examiner is requested to consider and to make record herein of all the references cited by or to the Office in connection with the listed applications. In accordance with MPEP 609 (III)(A)(2) (pursuant to USPTO OG Notices of August 05, 2003 stating that "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003"), Applicant has not

included copies of the U.S. Patents listed on the enclosed Forms PTO/SB/08A and PTO/SB/08B.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that an exhaustive search has been made, or that there does not exist information more material to the examination of the present patent application. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will review art of record in all 35 U.S.C. § 120 priority documents.

It is respectfully requested that the Examiner initial and return a copy of the enclosed Forms PTO/SB/08A and PTO/SB/08B, and to indicate in the official file wrapper of this patent application that the documents have been considered.

As this information is being submitted prior to the issuance of a First Office Action, Applicant respectfully submits that in accordance with 35 U.S.C. § 1.97 (b)(3) neither a fee, nor a statement is currently due. However, if there is a fee due which is unaccounted for, please charge such fee to Deposit Account No. 04-1928 (E.I. du Pont de Nemours and Company).

Respectfully submitted,

By:   
Hilmar L. Fricke  
Attorney for Applicant  
Reg. No.: 22,384  
Telephone: (302) 984-6058  
Facsimile: (302) 658-1192

Dated: June 22, 2005



## Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on June 22, 2005.  
Date

Ellen M. Godfrey

Signature

Ellen M. Godfrey

Type or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Application No.: 10/782,098

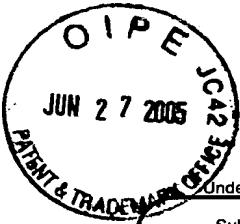
Filing Date: February 19, 2004

First Named Inventor: Carmen Flosbach

Title: Process for the Production of Polyurethane Di(Meth)Acrylates

Attorney Docket: FA1224 US NA

- Transmittal Form
- Supplemental Information Disclosure Statement
- Forms PTO/SB/08A and PTO/SB/08B (With Copies of Foreign References and PCT International Search Report with Written Opinion)
- Receipt Card



JUN 27 2005

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.**

**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet 1 of 2

sheet 1 of 2 Attorney Docket Number FA1224 US NA

**Complete if Known**

Application Number	10/782,098
Filing Date	FEBRUARY 19, 2004
First Named Inventor	CARMEN FLOSBACH
Art Unit	TBD
Examiner Name	TBD

U. S. PATENT DOCUMENTS

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	FOREIGN PATENT DOCUMENTS		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Foreign Patent Document	Publication Date MM-DD-YYYY			
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (If known)				
		WO 01/25359 A1 (Abstract)	12-05-2001	BASF Coatings	See US6825241	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup>Applicant's unique citation designation number (optional). <sup>3</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

**Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.**

<p>Substitute for form 1449/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets as necessary)</i></p>		<b>Complete if Known</b>	
		Application Number	10/782,098
		Filing Date	February 19, 2004
		First Named Inventor	Carmen Flosbach
		Art Unit	TBD
		Examiner Name	TBD
Sheet	2	of	2
		Attorney Docket Number	
		FA1224 US NA	

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:  
**Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*